

SOC 2 Readiness & Audit Status Package

Mindshine Technologies Inc. · Document 18 · Version 1.1 · August 12, 2026 · Status: **Readiness complete** · examination scheduled

Current status

A management-prepared **SOC 2 readiness assessment of the Cortex platform is complete** (report date August 2026), structured after an AICPA SOC 2 report: system description, management's assertion, criteria-mapped controls with the tests performed and their results, and **disclosed open matters with remediation plans** – disclosed, not omitted. **No auditor-issued SOC 2 report exists yet**; a Type I examination by a licensed CPA firm is the scheduled next step, followed by a Type II observation window.

Management assertion

Mindshine management asserts that the security program described in this package is implemented and operating as stated **per document** – each document carries its own honest status (Operating / In progress / Planned) – and that the supporting evidence is maintained on a tamper-evident (append-only, hash-chained) system of record, available in full to an independent examiner.

What makes this readiness credible

- Evidence is **generated by the platform**, not assembled for the occasion: automated collectors (repository settings, CI pipelines, weekly AWS scans, incident register), scanner run history, quarterly access reviews, change records.
- Controls were verified **as the identities they constrain**, with an independent adversarial review instructed to refute each control claim.
- Every known gap is a **tracked, disclosed open matter** with an owner and a plan (restore rehearsal, retention TTL jobs, alerting, DPA countersignature, policy attestations, product MFA, operator redundancy, penetration test).

Audit process

Final scope, testing period, exceptions, and the opinion are determined solely by the independent service auditor. **No Mindshine-prepared document – including this package – may be represented as auditor-issued evidence.**

Customer due diligence

Available now: this package (public on the trust center), the published readiness assessment, and – under NDA – evidence exports from the audit system of record. The auditor-issued report will be shared when available.

Owner: CTO (Ken Bakke); executive sponsor: CEO (Igor Babushkin). **Review:** quarterly during the examination phase. **Contact:** security@mindshine.com.